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PTO/SB/05 (03-01)
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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	026624.101-US01
	First Inventor	David Rothkopf
	Title	Method And Apparatus For Providing Customized Information
	Express Mail Label No	

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents	ADDRESS TO: Box Patent Application Commissioner for Patents Washington, DC 20231
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1 <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)	7 <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2 <input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3 <input checked="" type="checkbox"/> Specification [Total Pages 61] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	a <input type="checkbox"/> Computer Readable Form (CRF) b Specification Sequence Listing on i <input type="checkbox"/> CD-ROM or CD-R (2 copies), or ii <input type="checkbox"/> paper c <input type="checkbox"/> Statements verifying identity of above copies
4 <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 12]	ACCOMPANYING APPLICATIONS PARTS 9 <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10 <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) 11 <input type="checkbox"/> English Translation Document (if applicable) 12 <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 13 <input type="checkbox"/> Preliminary Amendment 14 <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15 <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16 <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i) Applicant must attach form PTO/SB/35 or its equivalent 17 <input type="checkbox"/> Other
5 Oath or Declaration [Total Pages <input]<br="" type="checkbox"/> a <input type="checkbox"/> Newly executed (original or copy) b <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)	
6 <input checked="" type="checkbox"/> Application Data Sheet See 37 CFR 1.76	
18 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76 <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No. _____ Prior application information: Examiner _____ Group / Art Unit. _____ For CONTINUATION or DIVISIONAL APPS only The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.	

19. CORRESPONDENCE ADDRESS			
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		26853	
		or <input type="checkbox"/> Correspondence address below	
Name			
Address			
City		State	Zip Code
Country		Telephone	Fax

Name (Print/Type)	Grady L. White	Registration No. (Attorney/Agent)	40,874
Signature		Date	May 18, 2001

1033 U.S. PTO
09/859427

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FEE TRANSMITTAL for FY 2001				Complete if Known			
<i>Patent fees are subject to annual revision.</i>				Application Number		Not Yet Assigned	
				Filing Date		May 18, 2001	
				First Named Inventor		David Rothkopf	
				Examiner Name		Not Yet Assigned	
				Group Art Unit		N/A	
TOTAL AMOUNT OF PAYMENT		(\$)		2,016 00		Attorney Docket No.	
						026624.101-US01	

METHOD OF PAYMENT				FEE CALCULATION (continued)																																																																																																																																																																																									
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <div style="width: 45%;"> Deposit Account Number: 50-0740 Deposit Account Name: Covington & Burling </div> <div style="width: 45%;"> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27 </div> </div>				3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse; font-size: 0.8em;"> <thead> <tr> <th>Large Entity Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY				Complete (if applicable)	
Name (print/type)	Grady L. White	Registration No (Attorney/Agent)	40,874	Telephone	(202) 662-6000
Signature		Date	May 18, 2001		

10/87/50
05/18/01
10860 U.S. PTO

Docket No.: 026624.101-US01
(PATENT)

11033 U.S. PTO
09/859427
05/18/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
David Rothkopf

Application No.: Not Yet Assigned

Group Art Unit: N/A

Filed: May 18, 2001

Examiner: Not Yet Assigned

For: METHOD AND APPARATUS FOR
PROVIDING CUSTOMIZED INFORMATION

TRANSMITTAL LETTER

Commissioner for Patents
Washington, DC 20231

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

1. Patent Application Initial Information Data Sheet;
2. Transmittal-New Utility Patent Application;
3. Fee Transmittal;
4. Utility Application comprising: 38 pages of description prior to the claims; 22 pages of claims (169 claims); one page abstract; and 12 sheets of drawings (Figs. 1, 2, 3, 3(a), and 4-11); and
5. Two return receipt postcards.

The Commissioner is hereby authorized to charge the \$2,016 application filing fee, any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit

Account No. 50-0740, under Order No. 026624.101-US01. A duplicate copy of this paper is enclosed.

Dated: May 18, 2001

Respectfully submitted,

By 
Grady L. White

Registration No.: 40,874
COVINGTON & BURLING
1201 Pennsylvania Avenue, N.W.
Washington, DC 20004-2401
(202) 662-6000
Attorneys for Applicant